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PTO/SB/21 (08-00) Approved for assuming 10/31/2002. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Typed or printed name

Signature

Application Number 09/9769221 Filing Date October 12, 2001 First Named Inventor Ulrich Zimmermann Group Art Unit 1712 Not yet assigned **Examiner Name** 21 Attorney Docket Number 414P037Div.

| ENCLOSURES (check all that apply) | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Fee Transmittal Form | 1 | Assignment Papers (for an Application) After Allowance Communication to Group | |
| Fee Attached Amendment / Reply | | Drawing(s) Appeal Communication to Board of Appeals and Interferences | |
| | | Licensing-related Papers Appeal Communication to Group (Appeal Natice, Bnef, Reply Bnef) | |
| After Final | | Petition to Convert to a | |
| After Final Affidavits/declaration(s) | | Provisional Application Status Letter | |
| Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) X Response to Missing Parts/ Incomplete Application | | Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks COPY Other Enclosure(s) (please identify below): -Copy of Notice to file corrected application papers dated 11/28/01 -Substitute Specification (18-Pages). Remarks | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | MAR SEIVER | |
| | SIGNATI | URE OF APPLICANT, ATTORNEY, OR AGENT | |
| Firm or Individual name | Kevin S | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Kevin S. Lemack Nields & Lemack | |
| Signature | A.D. | | |
| Date December 14, 2001 | | | |
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| I hereby certify that this corr mail in an envelope address | espondence is being sed to: Commissioner | deposited with the United States Postal Service with sufficient postage as first class of cr Patents, Washington, DC 20231 on this date: Dec 1/2 2001 | |

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ulrich Zimmermann

Serial No.

:

09/976,221

Filed

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October 12, 2001

For

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CROSSLINKING IONOTROPIC GELS

Examiner

Not yet assigned

Art Unit

1712

Attorney

Docket No.

414P037Div.

Assistant Commissioner of Patents and Trademarks Washington, D.C. 20231

Attention:

Box Missing Parts

Sir:

The "Notice to File Corrected Application Papers - Filing Date Granted" dated November 28, 2001 (copy of which is attached hereto for the convenience of the Application Branch) has been received. In response thereto there are filed herewith the following items:

1. Substitute Specification (18-Sheets).

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 14-0930. A duplicate copy of this sheet is attached.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington D.C. 20231, on December 14, 2001

Signature: Kevin S. Lemack
Date: December 14, 2001

Respectfully, submitted,

Kevin S. Lemack Attorney for Applicants Registration No. 32,579 Nields & Lemack 176 E. Main Street Westboro, MA 01581 TEL: (508) 898-1818



United States Patent and Trademark Office

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LIRST NAMED APPLICANT APPLICATION NUMBER ILING RECEIPT DATE ATTORNEY DOCKET NUMBER 09/976,221 10/12/2001

Ulrich Zimmermann

414P037Div

CONFIRMATION NO. 9837

FORMALITIES LETTER

Kevin S. Lemack Nields & Lemack 176 E. Main Street Westboro, MA 01581

Date Mailed: 11/28/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because
 - Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE